

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Alexander Apps, et al.

Application No.: 09/838,578

Filed: April 20, 2001

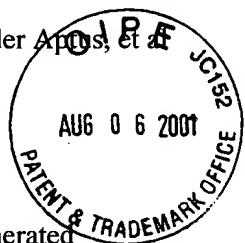
For: Navigation Links in Generated Documentation

Examiner: Not Yet Assigned

Group Art Unit: 2183

Confirmation No. 9138

Box Missing Parts
Assistant Commissioner for Patents
Washington, DC 20231



RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION UNDER 37 CFR 1.53(b)

Sir:

In response to the Notice to File Missing Parts for the above-captioned matter dated June 14, 2001, Applicant respectfully submits the following documents:

1. With regard to the statutory basic filing fee, the Applicant submits \$355.00 for a small entity;
2. With regard to the additional claim fees, the Applicant submits \$160.00;
3. The Applicant hereby submits two executed Declaration/Power of Attorney Documents;
4. With regard to the surcharge set forth in 37 CFR 1.16 (e) the Applicant submits \$65.00 for the late filing of the Declaration/Power of Attorney Documents;
5. PTO Form Fee Transmittal for FY 2001 and a Check in the amount of \$500.00 are hereby submitted, and
6. PTO Formalities Letter Notice to File Missing Parts of Nonprovisional Application.
7. Please charge any deficiency or credit any overpayment in connection with this filing to our Deposit Account No. 19-3140.

Please charge any deficiency or credit any overpayment in connection with this filing to our Deposit Account No. 19-3140.

Respectfully submitted,

August 6, 2001


Terrence M. Brennan, Reg. No. 42,360
SONNENSCHEIN NATH & ROSENTHAL
P.O. Box #061080
Wacker Drive Station
Sears Tower
Chicago, Illinois 60606-1080
(202) 408-6348

Direct telephone calls to:

Box Missing Parts
Assistant Commissioner for Patents
Washington, DC 20231



Please type a plus sign (+) inside this box

PTO/SB/21 (08-00)

Approved for use through 10/31/2002, OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

		Application Number	09/838,578
		Filing Date	April 20, 2001
		First Named Inventor	Alexander Aptus, et al.
		Group Art Unit	2183
		Examiner Name	Not Yet Assigned
Total Number of Pages in This Submission	493	Attorney Docket Number	300136300016

ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input checked="" type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	1. Two Executed Declaration / Power of Attorney Documents
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	2. Transmittal of the Information Disclosure Statement
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	3. PTO Forms SB/08A & SB/08B with 12 references.
<input checked="" type="checkbox"/> Response to Missing Parts/ Incomplete Application	<input type="checkbox"/> Remarks	
<input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Sonnenschein Nath & Rosenthal, P.O. Box 061080, Wacker Drive Station Sears Tower, Chicago, IL 60606-1080
Signature	Terrence M. Brennan, Reg. 42,360 
Date	August 6 2001

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class
mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date:

Typed or printed name		Date
Signature		

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



PTO/SB/17 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 500.00)

Complete if Known

Application Number	09/838,578
Filing Date	April 20, 2001
First Named Inventor	Alexander Aptus, et al.
Examiner Name	Not Yet Assigned
Group Art Unit	2183
Attorney Docket No.	300136300016

METHOD OF PAYMENT	
<p>1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:</p> <p>Deposit Account Number 19-3140</p> <p>Deposit Account Name SONNENSCHEIN NATH & ROSENTHAL</p> <p><input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17</p> <p><input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27</p>	
<p>2. <input checked="" type="checkbox"/> Payment Enclosed:</p> <p><input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other</p>	

FEE CALCULATION																																						
<p>1. BASIC FILING FEE</p> <table> <thead> <tr> <th>Large Entity</th> <th>Small Entity</th> <th></th> </tr> <tr> <th>Fee Code (\$)</th> <th>Fee Code (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr> <td>101</td> <td>710</td> <td>201</td> <td>355</td> <td>Utility filing fee</td> <td>355</td> </tr> <tr> <td>106</td> <td>320</td> <td>206</td> <td>160</td> <td>Design filing fee</td> <td></td> </tr> <tr> <td>107</td> <td>490</td> <td>207</td> <td>245</td> <td>Plant filing fee</td> <td></td> </tr> <tr> <td>108</td> <td>710</td> <td>208</td> <td>355</td> <td>Reissue filing fee</td> <td></td> </tr> <tr> <td>114</td> <td>150</td> <td>214</td> <td>75</td> <td>Provisional filing fee</td> <td></td> </tr> </tbody> </table>		Large Entity	Small Entity		Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid	101	710	201	355	Utility filing fee	355	106	320	206	160	Design filing fee		107	490	207	245	Plant filing fee		108	710	208	355	Reissue filing fee		114	150	214	75	Provisional filing fee	
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<p>SUBTOTAL (1) (\$ 355)</p>																																						

2. EXTRA CLAIM FEES																																						
<table> <thead> <tr> <th>Total Claims</th> <th>Extra Claims</th> <th>Fee from below</th> <th>Fee Paid</th> </tr> <tr> <th>Independent Claims</th> <th></th> <th></th> <th></th> </tr> <tr> <th>Multiple Dependent</th> <th></th> <th></th> <th></th> </tr> </thead> <tbody> <tr> <td>14</td> <td>-20** = 0</td> <td>x 0</td> <td>0</td> </tr> <tr> <td>5</td> <td>- 3** = 2</td> <td>x 40</td> <td>80</td> </tr> <tr> <td></td> <td></td> <td></td> <td></td> </tr> </tbody> </table>		Total Claims	Extra Claims	Fee from below	Fee Paid	Independent Claims				Multiple Dependent				14	-20** = 0	x 0	0	5	- 3** = 2	x 40	80																	
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<p>SUBTOTAL (2) (\$ 80.00)</p>																																						

*or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)					
3. ADDITIONAL FEES					
Large Entity	Small Entity				
Fee Code (\$)	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid	
105	130	205	65 Surcharge - late filing fee or oath	65.00	
127	50	227	25 Surcharge - late provisional filing fee or cover sheet		
139	130	139	130 Non-English specification		
147	2,520	147	2,520 For filing a request for ex parte reexamination		
112	920*	112	920* Requesting publication of SIR prior to Examiner action		
113	1,840*	113	1,840* Requesting publication of SIR after Examiner action		
115	110	215	55 Extension for reply within first month		
116	390	216	195 Extension for reply within second month		
117	890	217	445 Extension for reply within third month		
118	1,390	218	695 Extension for reply within fourth month		
128	1,890	228	945 Extension for reply within fifth month		
119	310	219	155 Notice of Appeal		
120	310	220	155 Filing a brief in support of an appeal		
121	270	221	135 Request for oral hearing		
138	1,510	138	1,510 Petition to institute a public use proceeding		
140	110	240	55 Petition to revive - unavoidable		
141	1,240	241	620 Petition to revive - unintentional		
142	1,240	242	620 Utility issue fee (or reissue)		
143	440	243	220 Design issue fee		
144	600	244	300 Plant issue fee		
122	130	122	130 Petitions to the Commissioner		
123	50	123	50 Processing fee under 37 CFR 1.17(q)		
126	180	126	180 Submission of Information Disclosure Stmt		
581	40	581	40 Recording each patent assignment per property (times number of properties)		
146	710	246	355 Filing a submission after final rejection (37 CFR § 1.129(a))		
149	710	249	355 For each additional invention to be examined (37 CFR § 1.129(b))		
179	710	279	355 Request for Continued Examination (RCE)		
169	900	169	900 Request for expedited examination of a design application		
Other fee (specify) _____					
*Reduced by Basic Filing Fee Paid				SUBTOTAL (3) (\$ 65.00)	

SUBMITTED BY		Complete (if applicable)		
Name (Print/Type)	Terrence M. Brennan	Registration No. (Attorney/Agent)	42,360	Telephone 202 408-6348
Signature	<i>Terrence M. Brennan</i>	Date	August 6, 2001	

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/838,578	04/20/2001	Alexander Aptus	30013630-0016

CONFIRMATION NO. 9138

026263
SONNENSCHEIN NATH & ROSENTHAL
P.O. BOX 061080
WACKER DRIVE STATION
CHICAGO, IL 60606-1080

FORMALITIES LETTER



OC000000006183683

Date Mailed: 06/14/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$160.
 - \$160 for 2 independent claims over 3 .
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1000.**

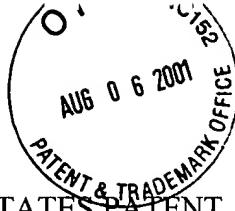
*A copy of this notice **MUST** be returned with the reply.*

[Signature]
Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

08/08/2001 MBERHE 00000075 09838578

01 FC:201	355.00 OP
02 FC:205	65.00 OP
03 FC:202	80.00 OP



(2)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Alexander Aptus, et al. Examiner: Not Yet Assigned

Application No.: 09/838,578 Group Art Unit: 2183

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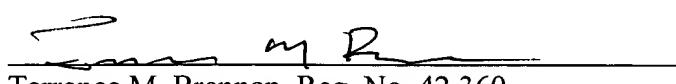
**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT PURSUANT 37
CFR 1.97(b)**

Sir:

The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

If there is any fee due in connection with the filing of this Information Disclosure Statement, please charge the fee to our Deposit Account 19-3141.

Respectfully submitted,


Terrence M. Brennan, Reg. No. 42,360
SONNENSCHEIN NATH & ROSENTHAL
P.O. Box #061080
Wacker Drive Station
Sears Tower
Chicago, Illinois 60606-1080

August 6, 2001

Direct telephone calls to: (202) 408-6348